Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(to be us

TRANSMITTAL FORM	Application Number	10/801,933		
	Filing Date	March 16, 2004		
	First Named Inventor	Akiyoshi AOYAGI		
ed for all correspondence after initial filing)	Art Unit	2811		
	Examiner Name	To be determined		

Total Number of Pages in This Submission Attorney Docket Number 9319S-000670 ENCLOSURES (check all that apply) After Allowance Communication to Fee Transmittal Form Drawing(s) Technology Center (TC) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Petition Appeal Communication to TC Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a \_\_\_ After Final Proprietary Information **Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): Form HDP 1449; and Request for Refund Acknowledgment postcard. Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks The Commissioner is hereby authorized to charge any additional Certified Copy of Priority fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Document(s) Account No. 08-0750. A duplicate copy of this sheet is enclosed. Response to Missing Parts/ Incomplete Application 533 146 625 Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Reg. No. Attorney Name Firm 27,382 G. Gregory Schivley Harness, Dickey & Pierce, P.L.C. Bryant E. Wade 40,344 Individual name Signature July 29, 2004 Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below Typed or printed name G. Gregory Schirley / Bryant E. Wade Express Mail EV 533 146 625 US (7/29/2004) Label No. Date Signature July 29, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this budgen, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Boy 1450, Afexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1459.

I FW

**PATENT** 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Page Application No.:

10/801,933

Filing Date:

March 16, 2004

Applicant:

Akiyoshi AOYAGI

**Group Art Unit:** 

2811

Examiner:

To be determined

Title:

SEMICONDUCTOR DEVICE, ELECTRONIC DEVICE,

ELECTRONIC APPARATUS, METHOD OF . . .

Attorney Docket:

9319S-000670

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

### I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

#### II. COPIES

A Submitted herewith is a legible copy of (i) each U.S. patent application
publication and U.S. and foreign patent; (ii) each publication or that portion which
caused it to be listed; (iii) for each cross-referenced pending U.S. application
listed below in Section IV, the application specification including the claims, and
any drawing of the application which caused it to be listed including the claims
directed to that portion; and (iv) all other information or that portion which caused
it to be listed.

B. \_\_\_\_ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

## U.S. Serial Number

## U.S. Filing Date

C.X Because the present application was/is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form 1449 are enclosed herewith.
D This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. If the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g))
CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)
A. $\underline{X}$ Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).
B A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):
<ol> <li>See the attached foreign patent office communication from a counterpart foreign application:</li> <li>English translations are provided:</li> <li>Other:</li> </ol>
CThe following additional information is provided for the Examiner's consideration.
CROSS REFERENCE TO RELATED APPLICATION(S)
AThe Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122.

Filing Date

## V. THIS IDS IS BEING FILED UNDER

Serial No.

A. X\_37 C.F.R. § 1.97(b): (check only one box)

III.

IV.

Art Unit

<ol> <li> within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.</li> </ol>
2 within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.
3. X before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).
4 before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.
B <b>37 C.F.R. § 1.97(c)</b> : (check <u>only</u> one box)
before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.
<ol> <li>No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).</li> </ol>
2 See the certification below. No fee is required.
C37 C.F.R. § 1.97(d):
after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.
1See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)
The undersigned hereby certifies that:
A each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. §

VI.

	1.97(e)(1)). See further statement under 37 C.F. R. 1.704(d) below in section VII, if applicable; or
	B no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
	C Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.
VII.	STATEMENT UNDER 37 CFR 1.704(d)
	The undersigned hereby states that:
	each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.
VIII.	PAYMENT OF FEES (check only one box)
	A A check in the amount of \$180.00 is enclosed for the above-identified fee.
	BPlease charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.
the in	The above references are being cited only in the interest of candor and without dmission that they constitute statutory prior art, contain matter which anticipates vention, or which would render the same obvious, either singly or in combination, person of ordinary skill in the art. Furthermore, this Information Disclosure

Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Ву:

Respectfully submitted,

Bryant E. Wade Reg. No. 40,344

ley, Reg. No. 27,382

Dated:

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

GGS/BEW/JJK



RM HDP-1449 (Based on Form PTO-1449)

# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 1

ATTORNEY DOCKET No.	SERIAL NO.		
9319S-000670 10/801,933			
APPLICANT			
Akiyoshi AOYAGI			
FILING DATE	GROUP		
March 16, 2004	2811		

U.S. PATENT DOCUMENTS							
.Ref. Desig.	Examiner's Initials	Document Number	Date	Name		Class/ Subclass	(If appropriate) Filing Date
1.		2004/0135243 A1	7/15/2004	Aoyagi			

<sup>\*</sup> One or more of the English translation documents submitted herewith may be Abstracts only or partial machine created translations from the Japanese Patent Office. As such, the submitter does not necessarily vouch for their accuracy. Additional information may be obtained from the Japanese Patent Office web site at <a href="https://www.ipo.go.jp">www.ipo.go.jp</a>.

Examiner: Date Considered: